### Differences of Trademark Practices in Japan

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### Filing Trademark Applications

### Registrable Trademarks

Marks with color, movement and/or its position

Three-dimensional marks

Colors per se

Sounds

Holograms

Not Registerable

**Smells** 

### "Katakana" Expressions

Alphabetical TMs can be transliterated to Katakana.
 The alphabetical and Katakana trademarks have similar scopes of protections with small differences, because of different outlooks.

- When a competing TM is similar with an alphabetical TM in sound, but not in outlook or meaning:
  - ⇒ Katakana version of the alphabetical TM is likely similar with the competing mark.

### Katakana TM or Alphabetical TM

File in alphabet or Katakana, whichever will be used more in Japan.

- For Japanese with average or lower level of intelligence
  - ⇒ Katakana-expressions are often used.
- For sophisticated people
  - ⇒ Alphabetical TMs are often used as well.
- Emphasizing the image of a foreign country
  - ⇒ Alphabetical TMs are more often used.
- Very important TMs ⇒ Filing in both

# cf. US Any slight intent to use is sufficient for filing and registering TMs

Generally suggested to designate more goods than in the U.S., even if added goods are not entitled to priority.

#### Note, however:

- Each Class is divided into subclasses in Japan.
  - If Subclasses > 22 (in a Class), declaration of intent to use and simple business plan are required.
  - ⇒ We will send you those forms, as necessary.
- Qualifications required for performing the designated services must also be proven.

# cf. US Prior use of unregistered TM does not provide exclusive right

Allowed to continue using TM, if the TM was:

- used for fair purpose,
- well known when the other filed the application, and
- being used without termination.

# If classes are improper, a new class cannot be added to Madrid application

Some goods fall into different classes in Japan

⇒ Include those classes for Japan when filing a Madrid application

A new class can be added to a domestic application for reclassifying the goods/services

## JPO is very strict regarding descriptions of goods/services

International standard goods/services are sometimes rejected.

 $\downarrow$ 

Try to choose goods/services from the JPO's list of "Acceptable Descriptions" of goods/services, which can be viewed in English via <a href="https://www.ryuka.com/en/trademark/trademark\_classes.shtml">https://www.ryuka.com/en/trademark/trademark\_classes.shtml</a>.

Please note that recent updates to the JPO list might not always be reflected to the English version.

# If goods/services are not found in the "Acceptable Descriptions,"

We explain goods in detail by submitting pamphlets, printouts from websites, etc.

Examiner will suggest a description.\*

<sup>\*</sup> If Examiner's suggestion is not acceptable, please provide us with information about the goods/services and we will propose an appropriate description.

## Goods/Services can only be <u>limited</u> after the application is filed

Broadening goods/services → ×

Include broader descriptions of goods/services in the original application to support further limitation, as necessary.

## No POA nor other signed document is required for filing an application

#### We only need:

- Trademark, classes and goods/services\*
- Name and address of applicant
- Priority information (six months)

Certified copy of priority application:

Due within three months of JP filing date.

### **Examination**

# Substantive examination is carried out typically within a year

#### Can be expedited if:

- the applicant proves that it has substantially prepared to use TM, and
- a counterpart application was filed in abroad.

### Similarity is analyzed differently at the JPO

#### Trademarks

Largely based on the phonetics of the Japanese language, which is often different from true pronunciation.

For example, "r" and "l" are pronounced the same in Japan, as are "ce" and "se."

#### Goods/Services

The JPO mechanically considers the similarity of goods and services by whether they fall into the same subclass.

# Dealing with refusal based on similar prior TM

- If cited mark was registered more than 3 years ago, consider filing non-use cancelation (next slide)
- Temporary assignment (following slide)
- If cited trademark application is still pending, wait for final decision on cited trademark
- Delete conflicting goods and/or argue dissimilarity

Even minor changes to TM are not permitted.

# Non-use cancellation can easily be filed in Japan

- Requester has no initial burden of proof.
   ⇒
   Cancellation is routinely filed in Japan.
- TM owner must prove the use for any challenged goods in the last three years or a justifiable reason for non-use.
- Challenged goods/services are cancelled, if not proved.
- If cited trademark is maintained, the applicant can still change designated goods/services at the JPO. (If Madrid, at WIPO)

### Consent overcomes prior TM, if consumers will not be confused

"Temporary Assignment" is more solid.

RYUKA negotiates with the owner of the cited TM to agree with "Temporary Assignment."

# For overcoming lack of distinctiveness, prove, for example:

Secondary meaning through long and extensive use

A unique concept being implied by the trademark

Not indicating the quality of goods/services considering general consumer perceptions

Otherwise, appeal and confirm rejection to ensure that TM would not be registered by a third party.

(Appeal decisions are referred by other examinations)

# Dealing with refusal based on implied contents of trademark

 Limit designated goods/services to those that are implied; or

 Explain that the trademark does not imply the contents of goods/services in light of the real market situation

### After Registration

# Post-grant opposition can be filed within two (2) months of publication

- Any party may file, against even a portion of designated goods.
- Three or five trial examiners handle
- If reason for cancelation is found, TM owner may respond.
- If cancelled, an appeal may be filed with the IP High Court.

# TM can be assigned for a portion of goods/services, but the assignment is not effective until recorded

 Licensee may not assign or grant any license without permission, except that a license may be transferred concurrently with a related business.

## If a non-use cancellation is requested, what shall TM owner prove?

Use by licensee is Okay.

- The following differences of TM are Okay.
  - the same characters in a different style, or
  - different characters with same pronunciation & concept

Ex) TM Registered: Roman Letters

TM in Actual use: Japanese Katakana Syllables

#### Thank you.

We welcome any inquiries at: cases.to.jp@ryuka.com.

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